

# The Frequency Domain

## And How It Can Be Used To Aid Artificial Intelligence

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## John Edwards

- US Attorney
- Former US Senator
- 2008 vice presidential candidate until ...
- Tabloid scandal
  - Extramarital affair with a film producer



- DSP, AI and Embedded Systems Consultant
  - > 30 years experience
  - Lucky enough to be at the start of the DSP revolution
  - Digital Communications
    - V Series Modems, VOIP, WiMAX, 3G (WCDMA) and 4G(LTE) – basestations and mobiles
  - Audio
    - Pro-audio and Voice User Interface products
  - AI for vibration analysis
- Member of the IET and IEEE
- Regular contributor of papers at international DSP conferences
- Presents bespoke DSP training courses to companies
- Visiting lecturer at the University of Oxford
  - Post Graduate DSP course on the Summer Engineering Program for Industry

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# Poll

Quiz - What level of Digital Signal Processing knowledge do you have ?

- I have programmed DSP algorithms in C/Assembly
- I have developed DSP algorithms in Python, Matlab or other high level language
- I have done some data analysis in Excel or other similar application
- I have no DSP knowledge

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## The Advance of Machine Learning

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- What's the difference between Artificial Intelligence and Machine Learning ?
  - AI is slideware (and detecting cats vs dogs on Facebook)
  - ML is software
    - MIT Technology Review \*
  - ;-)
- Machine Learning is ...
  - Sometimes referred to as Artificial Intelligence of Things (AIoT)
  - Learning in the cloud
    - Tensorflow, Colab and TPUs are great
  - Deployment at the edge – end-point or edge controller
    - Tensorflow Lite / TinyML
  - The applications are vast and diverse
- These techniques can be used in many other AI and Data Science scenarios

\* <https://www.technologyreview.com/2020/08/18/1007196/ai-research-machine-learning-applications-problems-opinion/>

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## Applications Of Machine Learning

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- Image recognition (E.G. human/vehicle/cyclist recognition) at the edge is super important
- But there are potentially even more applications for applying ML to 1D, streaming, data
  - Machine Vibration Analysis
    - Predictive maintenance of industrial machines
  - Speech Recognition
  - Medical health care and automated patient monitoring
  - Environmental monitoring and protection
  - Health, Safety and Security
  - Logistics and Distribution
- These applications do not require the same level of MIPS, memory and power consumption as image recognition style applications

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## Machine Learning Architectures

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- ML at the end-point (as opposed to the edge) has advantages over the cloud
  - Latency
  - Cost
  - Power consumption
  - Always on network
  - Security
- However this moves the “cost” from the service provider to the consumer/customer
  - End-points are deployed in higher quantities.
    - Therefore “cost” is critical
- Question – How can we help optimize the ML recognizer for the above constraints ?
  - Let’s start by looking at an example application

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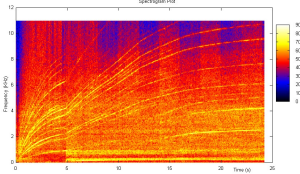
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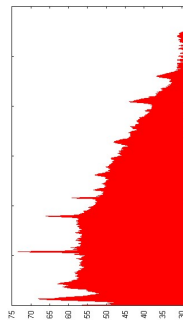
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## Monitoring A Rolls-Royce 250-C30P Gas Turbine Engine

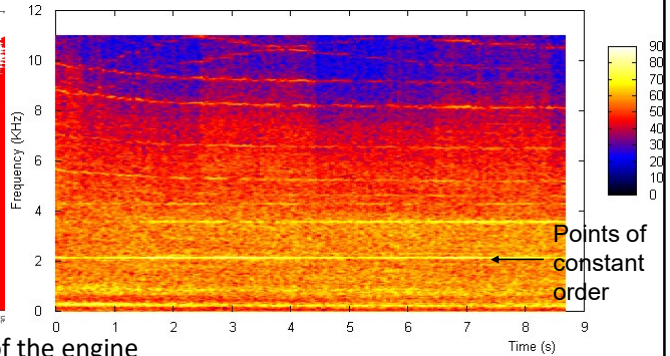
Spectrogram



Averaged Order Analysis



Ordergram



- Order analysis adjusts for rotational speed of the engine
- The spectrum has clearly defined peaks that depend on the health of the engine

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## The Traditional AI Model

- Chuck lots of data and lots of MIPS at the problem and let the algorithm do the work
- Ok, let's think about frequency analysis, for a moment
- Fortunately Endolith has already done this for us 😊
  - He's developed a Neural Network trained to implement the FFT
  - <https://gist.github.com/endolith/98863221204541bf017b6cae71cb0a89>
  - Awesome, all I need to do is teach his NN some more DSP algorithms and I can sit on a beach drinking Piña coladas while his algorithm does all my work – woo hoo
  - Unfortunately, it requires a lot of MIPS and memory to infer the frequency domain output ☹️
  - Note, this is a great project and if you are interested in maths and AI then clone the repo and have a play
- So if we want to teach a Neural Network how to recognize vibration and failure modes then it makes sense to pre-process the input signal to extract the features that will help the recognizer
  - A process called Feature Extraction or Feature Engineering

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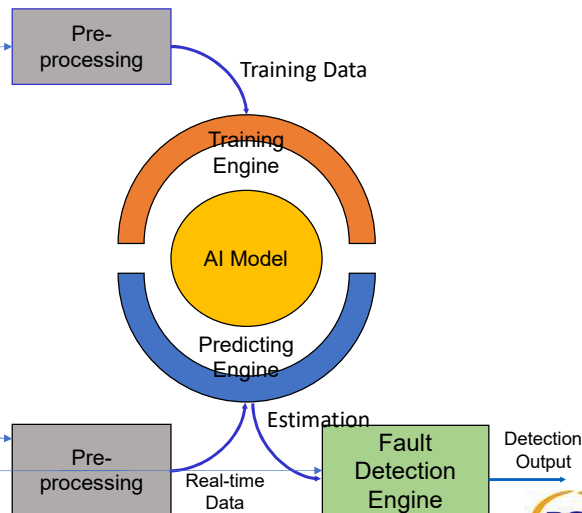
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# Machine Vibration Monitoring Application

• Multiple stages to both training and inferring

- Signal preparation
  - Filtering and noise removal
  - Level normalization
- Feature engineering
  - Spectrum analysis
  - Cepstrum analysis
  - Peak detection
  - Zero crossing detection

- Feature recognition
  - Event characterization
  - Fault characterization



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# Signal Processing Is The Solution

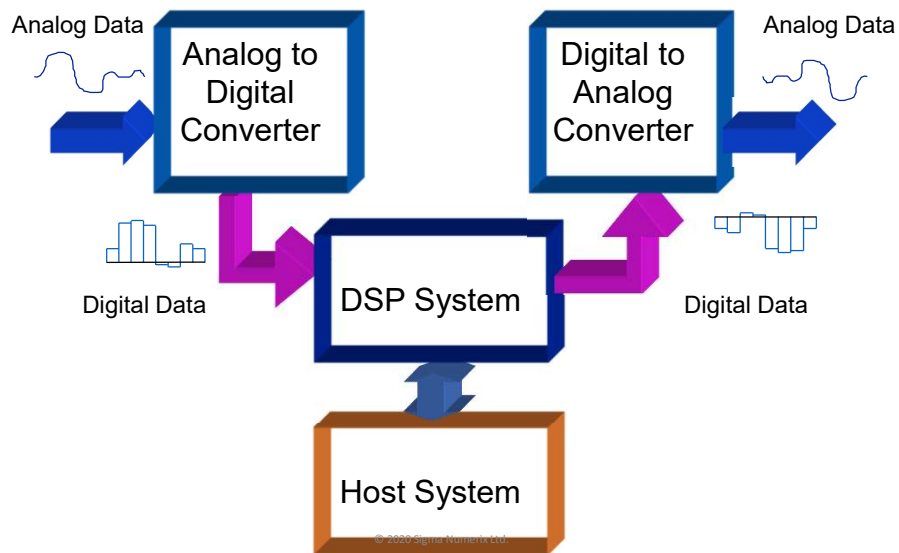
It's All About The Maths

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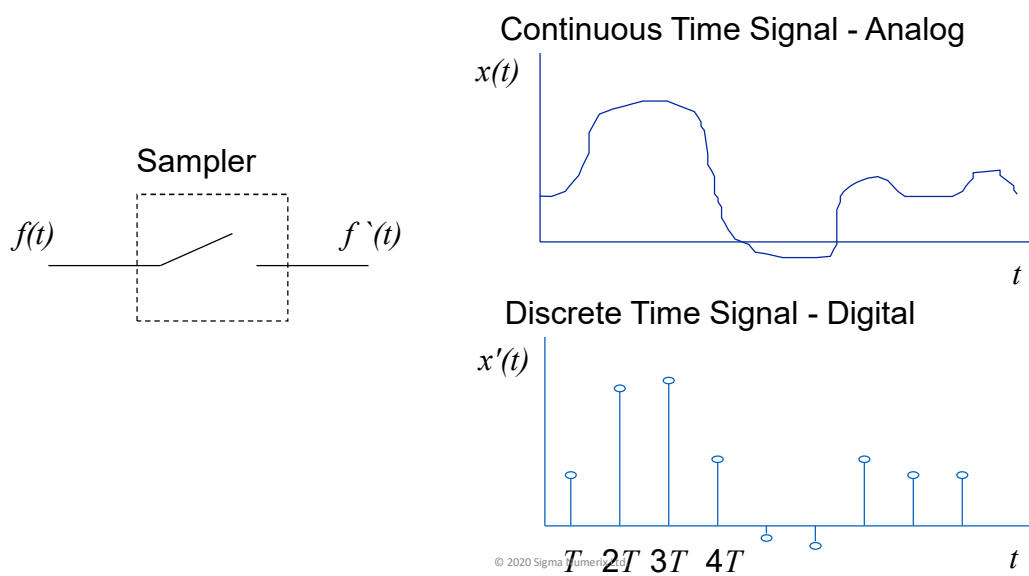
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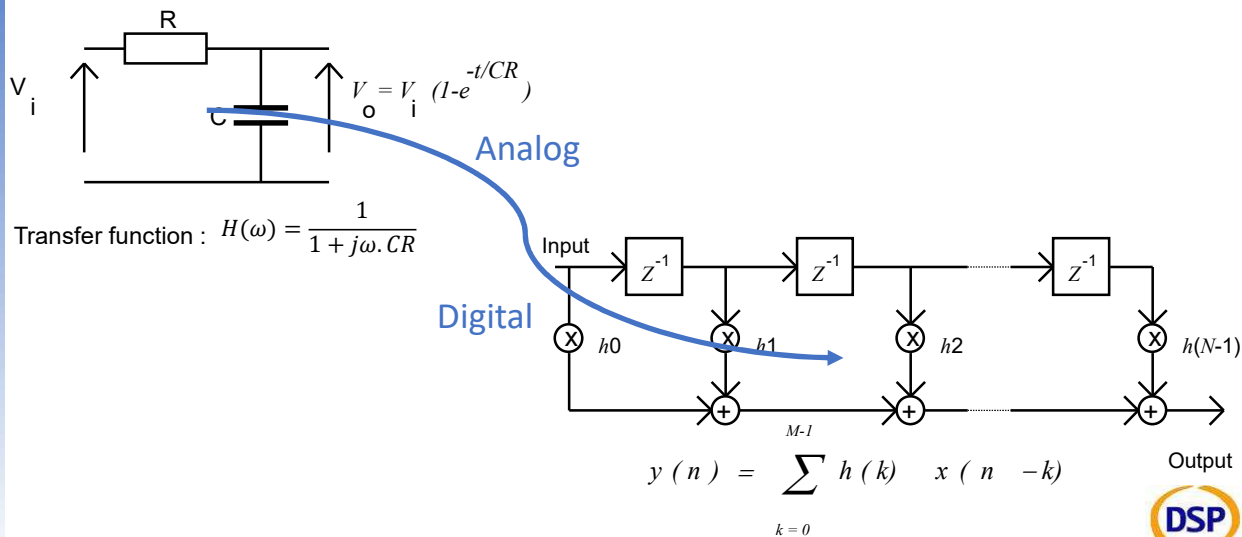
## DSP System Fundamentals



## Discrete Time Signals



## Time Domain Processing – Filtering aka Convolution



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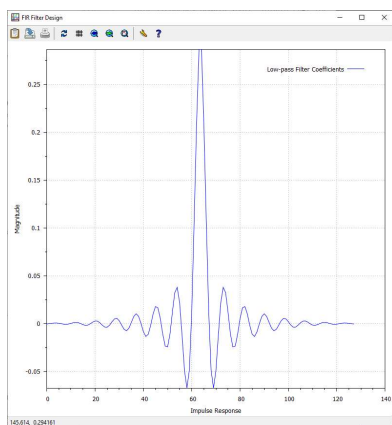
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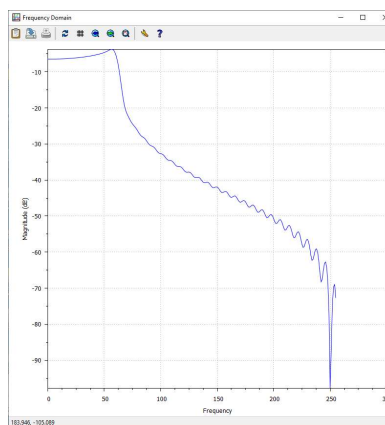
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## We Can Analyze And Process Signals And Systems In The Time Or Frequency Domains

Time Domain



Frequency Domain



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# Frequency Domain Processing

Discrete Fourier Transforms

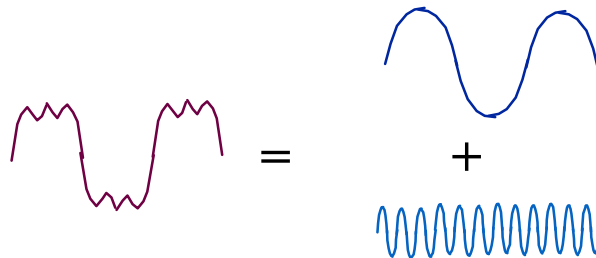
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## The Fourier Theory

- In 1822, Baron Jean Baptiste Fourier detailed the theory that any real world waveform can be generated by the addition of sinusoidal waves.



(This was arguably developed first by Gauss in 1805)

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## The Discrete Fourier Transform

$$X(k) = \sum_{n=0}^{N-1} x(n) e^{-j\frac{2\pi nk}{N}} \quad \text{for } 0 \leq k \leq N-1$$

- For every frequency, the Fourier Transform  $X(k)$  determines the contribution of a complex sinusoid of that frequency in the composition of the signal  $x(n)$ .
- The Inverse DFT is “the same” except for the phase
- The Fast Fourier Transform (FFT) is just an optimization of this equation

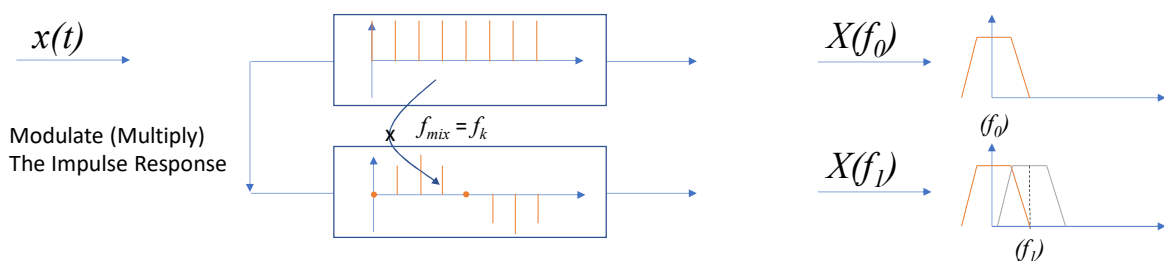
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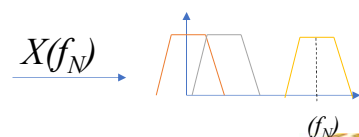


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## How Does The Discrete Fourier Transform Work



- That’s a lot of detail ?
- Yes, but ...
  - The DFT is just a bank of filters
  - Each filter has a given centre frequency separated by  $F_s/N$ 
    - $F_s$  is the sample rate
    - $N$  is the length of the DFT



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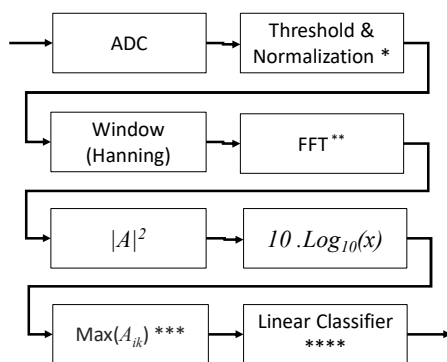
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# Let's Return To Our Application

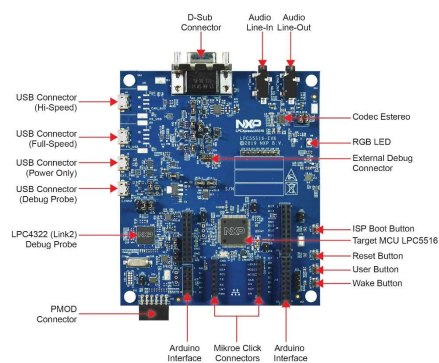
## The Vibration Monitoring Application



- \* Do not classify if below threshold
- \*\* FFT block options include FFT, Mel Spectrum or MFCC
- \*\*\* Element wise maximum over 10 frames
- \*\*\*\* With convolution kernels on the PowerQuad

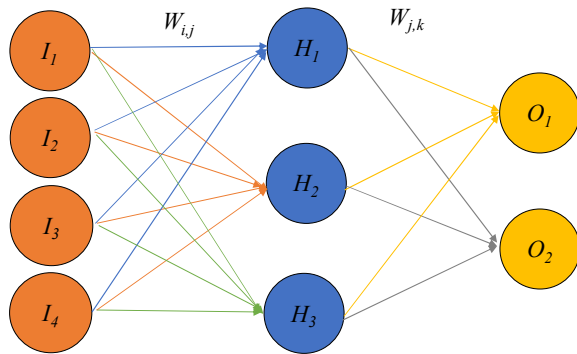
• Current real-time implementation uses an NXP LPC55S69

- Dual Core 32 bit ARM M33 based
- Coded in C and uses DSP Coprocessor (PowerQuad)
  - DSP (Filtering and Fourier Transform)
  - Machine Learning Inferencing convolutions



## Multi-Layer Backpropagation Perceptron Network Solution

Input Layer                      Hidden Layer                      Output Layer



- Convolutional Neural Network
  - The convolutions (coloured) are standard DSP convolution operations

- Input Layer Length (FFT Size /2)
  - 64
    - 16 kHz sample rate
- Hidden Layer Length
  - 25
- Model Size
  - Using 16 bit intermediate data
  - 2k bytes + 500 bytes per additional output category
  - Requires about 15 mins of audio, for each category, to train the model
    - Multiple epochs also helps (typically ≈5)
- Model Accuracy
  - >98% \*
    - \* Depends on the similarity (cross-correlation) between the two signals
- Power consumption
  - < 18 mW continuous



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## Conclusions

- There is a lot to be gained from making AI IntelligentAI
- Think about your application
- Think about what Feature Engineering can be applied to the input signal to assist the Neural Network
- Experiment with the Feature Engineering
  - In many industrial applications there is more to be gained by focussing your efforts on this than developing and training a more complex Neural Network



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## Access To Additional Information And Resources

- Digital Signal Processing Books

- The Scientist and Engineer's Guide to Digital Signal Processing; By Steven W. Smith, Ph.D.
  - <http://www.dspguide.com>
- Introduction to Signal Processing; by Sophocles J. Orfanidis
  - <http://eceweb1.rutgers.edu/~orfanidi/intro2sp/>
- Understanding Digital Signal Processing, Lyons, Prentice Hall
  - <https://www.amazon.com/Understanding-Digital-Signal-Processing-3rd/dp/0137027419>

- TinyML

- <https://tinymml.org/>
- <https://tinymmlbook.com/>

- Efficient Processing of Deep Neural Networks, Sze et al.

[http://www.morganclaypoolpublishers.com/catalog\\_Orig/product\\_info.php?cPath=22&products\\_id=1530](http://www.morganclaypoolpublishers.com/catalog_Orig/product_info.php?cPath=22&products_id=1530)

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## Thank You Very Much

Questions ?

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